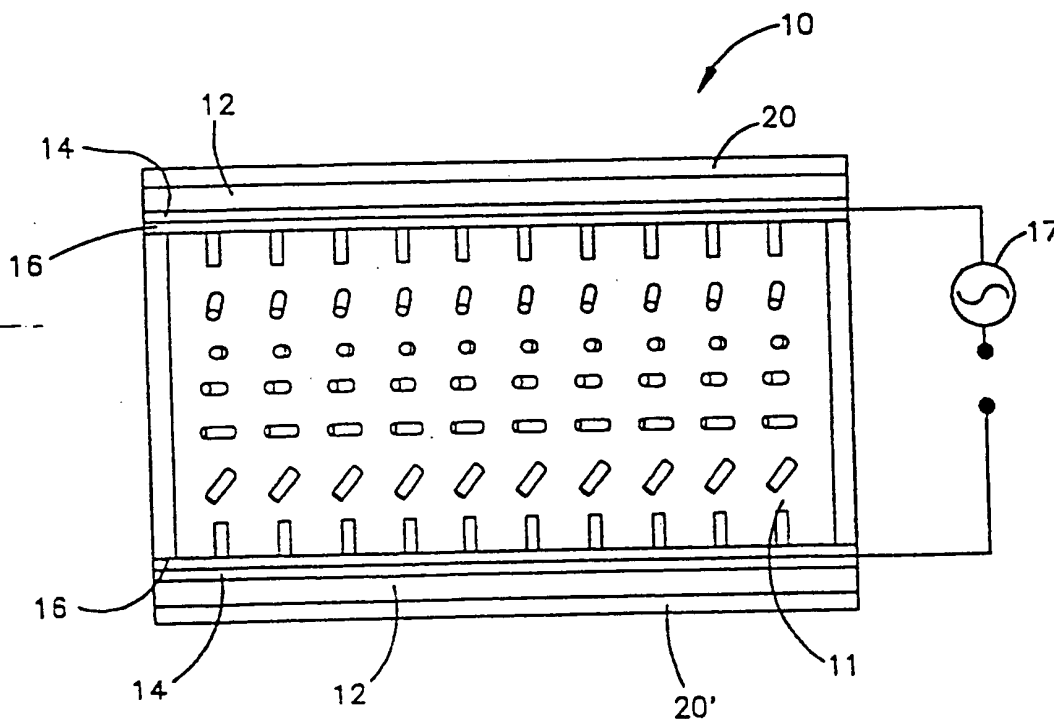




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : G02F 1/1337	A1	(11) International Publication Number: WO 95/00879 (43) International Publication Date: 5 January 1995 (05.01.95)
(21) International Application Number: PCT/US94/06835 (22) International Filing Date: 16 June 1994 (16.06.94) (30) Priority Data: 08/081,009 21 June 1993 (21.06.93) US (71) Applicant: CASE WESTERN RESERVE UNIVERSITY [US/US]; 10900 Euclid Avenue, Cleveland, OH 44106 (US). (72) Inventors: ROSENBLATT, Charles; 24104 Woodway Road, Beachwood, OH 44122 (US). FISCH, Michael, R.; 17437 Edgewater Drive, Lakewood, OH 44107 (US). CRAN- DALL, Karl, A.; 216 Palmer Avenue, Bowling Green, OH 43402 (US). PETSCHKE, Rolfe; 3 Jeffrey Drive, Oxford, OH 45056 (US). (74) Agent: SHARPE, Richard, A.; Watts, Hoffmann, Fisher & Heinke Co., L.P.A., Suite 2850, 100 Erieview Plaza, Cleveland, OH 44114-1824 (US).	(81) Designated States: CN, JP, KR, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	

(54) Title: CHOLESTERIC LIQUID CRYSTAL DEVICES



(57) Abstract

A liquid crystal cell (10) treated for homeotropic alignment of a chiral nematic liquid crystal material (11) having a negative dielectric anisotropy.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

1
CHOLESTERIC LIQUID CRYSTAL DEVICES

This application was made in part with Government support under cooperative agreement number DMR 89-20147 and DMR 90-20751 awarded by the National Science Foundation. The Government has certain rights in this invention.

5

Background of the Invention

10

Flat panel liquid crystal displays are important components in display technology. Because of their relatively low cost and high definition, twisted nematic (TN) and supertwisted nematic (STN) cells, ferroelectric liquid crystals and liquid crystal-polymer dispersions have been used in a variety of display applications. Each of the foregoing technologies has drawbacks. Both TN and STN cells require complicated surface treatments which add to the difficulty and expense of their manufacture. Since TN cells inherently exhibit remnant birefringence near the cell surfaces, their dark state is not typically very dark.

15

To obtain good contrast TN and STN cells may require an additional retardation or compensation layer which further adds to the difficulty and expense of their manufacture. Moreover, STN and TN cells are dark in their voltage-on state and bright in their voltage-off state, complicating the addressing scheme in multipixel displays. Ferroelectric liquid crystals also require delicate surface treatments and are susceptible to mechanical shock which limits their application. The polymer required for polymer-liquid crystal displays adds to their cost and complicates their manufacture. The instant invention provides an economical alternative to such technologies having the advantages of low cost, a very dark off-state, a bright on-state, excellent contrast, the possibility for inherent color transmission and, for certain applications, low threshold switching voltage.

20

25

Disclosure of the Invention

The invention is directed to new liquid crystal display technology employing chiral nematic liquid crystal having negative dielectric anisotropy. The invention provides an economical substitute for TN and STN cells and has a number of advantages thereover. First, the device relies on homeotropic surface alignment, which is much simpler to obtain than the homogeneous surface alignment required for TN cells. This reduces the number of processing steps, rendering the device both easier and cheaper to manufacture. Second, the dark or opaque "off" state of the inventive devices exhibits excellent extinction and has no remnant birefringence for normally incident light. Thus, the opaque state is much darker than that exhibited by TN cells and does not require a positive retardation layer. Third, the device can be adjusted to achieve vanishingly small threshold voltage and can exhibit grey scale. Fourth, the contrast ratio of collimated light, which is the ratio of transmitted intensity at a field on voltage to transmitted intensity in the absence of a field, can be over 3000:1 for normal incidence, which is far superior to most TN cells. Moreover, these contrast ratios are exhibited in a light on dark display. Light writing on a dark background is a significant advantage over dark on light displays, such as TN cells. Finally, in certain configurations, preferential transmission of color is possible where the color depends on the applied voltage. By adjusting the cell parameters the devices can be used in a number of display applications and be significantly more cost effective than currently existing technologies.

As described above, the invention is based upon a chiral nematic liquid crystal material having negative dielectric anisotropy. For a sufficiently thin cell having surfaces treated to promote homeotropic alignment of the liquid crystal, the helical pitch of the chiral material unwinds and the liquid crystal exhibits a uniform homeotropic alignment substantially throughout the cell. If the pitch is adjusted so that the liquid crystal director undergoes an approximately 90° azimuthal rotation across the width of the cell, the device can be operated as a high contrast ratio light shutter. At zero voltage the molecules are oriented homeotropically so that when interposed between

crossed polarizers, normally incident light will not pass through the cell. The field-off state of the inventive cells is darker than is ordinarily found in the TN or STN cells because there is no in-plane birefringent layer at the surfaces.

At a sufficiently high voltage the liquid crystal assumes a nearly pure helical twist with the director over most of the interior of the cell nearly parallel to the cell walls. Polarized light passing through the liquid crystal material will undergo a combination of retardation, and rotation through an angle close the azimuthal rotation of the liquid crystal, about 90° , and emerge through the crossed polarizer.

In accordance with the foregoing, one embodiment of the invention provides a liquid crystal display device comprising cell walls and a chiral nematic liquid crystal material disposed between the cell walls. The cell walls include means for electrically addressing the liquid crystal material and means for plane polarizing light passing therethrough. Each of the polarizers is oriented to pass a polarization of light substantially perpendicular to the polarization of light passed by the other polarizer, i.e. the polarizers are crossed. The cell walls are also treated to promote homeotropic alignment of the liquid crystal material. The liquid crystal material has negative dielectric anisotropy and includes a sufficiently low amount of chiral material to enable the liquid crystal director throughout the cell to homeotropic align in the absence of a field. In one embodiment the cell walls are spaced apart by a distance of approximately $3/2$ the pitch length of the chiral nematic liquid crystal to minimize the threshold voltage. In a preferred embodiment the liquid crystal material has a pitch length effective to rotate light passing through the cell approximately 90° in the presence of an electrical field. In another embodiment the cell thickness is adjusted so that any optical retardation between two possible polarization eigenmodes is close to an integral multiple of 2π , i.e., 360° , to maximize transmission.

In another embodiment at least one of the cell walls is treated to promote a preferential orientation of the azimuthal tilt of adjacent liquid crystal molecules, preferably parallel to the orientation of one of the polarizers. In a preferred embodiment the cell wall is treated to promote preferential orientation by blowing freon with glass spacers across the surface of the wall at an angle with respect to the cell normal. Other means of preferentially

orienting the azimuthal tilt may include angular deposition of SiO followed by a surfactant, or by rubbed polymer followed by a surfactant.

The chiral nematic liquid crystal is a mixture of nematic liquid crystal having negative dielectric anisotropy and chiral material in an amount sufficient to produce a desired pitch length. Suitable nematic liquid crystals and chiral materials are commercially available and would be known to those of ordinary skill in the art in view of this disclosure. The amount of nematic liquid crystal and chiral material will vary depending upon the particular liquid crystal and chiral material used, as well as the desired mode of operation and cell thickness. One of ordinary skill in the art will be able to select appropriate materials for the invention based upon general principles of chiral doping of liquid crystals to obtain optimum pitches, for example, the procedures taught in the manual distributed by Hoffmann-La Roche, Ltd., entitled *How to Dope Liquid Crystal Mixtures in Order to Ensure Optimum Pitch and to Compensate the Temperature Dependence*, Schadt et al., (1990), incorporated herein by reference. For high contrast light shutters the percentage of chiral material should generally be selected so as to produce a 90° rotation of the director across the thickness of the cell, although special applications can be achieved by varying the amount of chiral material.

Suitable surface treatments for promoting homeotropic alignment of the liquid crystal are also known to those of ordinary skill in the art and include silanes and surfactants. One suitable surfactant is hexdecyltrimethylammonium bromide (HTAB).

The cell may be addressed by any means known to those skilled in the art such as an active matrix, a multiplexing circuit and electrodes. Suitable polarizers are also commercially available and would be known to those of ordinary skill in the art in view of this disclosure.

In carrying out the invention, the cell substrates are prepared and then partially sealed around their edges with, for example, epoxy or other material in the usual way. The solution containing the desired amounts of nematic liquid crystal and chiral material is prepared and introduced between cell substrates. The cell can be filled by methods known to those of ordinary skill in the art, such as by capillary action. A preferred technique is to vacuum fill the cells. This improves cell uniformity and eliminates bubbles in the cell. For

electrically addressable cells the cell walls are coated with transparent electrodes, such as indium tin oxide, prior to the introduction of the liquid crystal.

While not wanting to be bound by theory, a cell according to the invention can exhibit a vanishingly small threshold voltage because of a modification of the cell parameters. Upon application of an electric field perpendicular to a cell treated for homeotropic alignment of a chiral nematic liquid crystal having negative dielectric anisotropy, there are three terms or aspects characterizing the free energy in the cell. Taken together, the pair of bend elasticity, which favors homeotropic alignment, and negative dielectric susceptibility anisotropy, which favors a molecular orientation parallel to the cell surfaces, gives rise to the known Freedericksz transition, i.e., the transition that occurs at some threshold voltage where the field, which wants to distort the liquid crystal, overcomes the elastic energy. However, a chiral material also has a ground state twist. Given the initial homeotropic alignment, the only way for the liquid crystal to lower its energy and achieve a twist is for it to first exhibit bend distortion. Thus, both the electric field and the chiral material's propensity to twist compete with the bend elasticity and lower the threshold voltage. Unlike an ordinary twisted nematic cell in which the threshold voltage (V_{th}) is independent of sample thickness, V_{th} in the instant devices is a function of the ground state pitch P_{sub} , the sample thickness d and the ratio of the twist to the bend elastic constant. V_{th} for such a cell can be made vanishingly small when the pitch is approximately $1.5 \times d$.

In another embodiment, the intensity and uniformity of transmission from the cell can be maximized by adjusting the cell parameters. Excellent transmission can be obtained from such a cell when the pitch is effective to rotate polarized light passing through the cell by about 90° and the cell is of a thickness such that any optical retardation between polarization eigenmodes is close to an integral multiple of 360° . For $V < V_{th}$ polarized light entering the cell sees a homeotropic orientation and is neither rotated nor retarded. Thus, the light does not pass through a crossed polarizer on the opposite side of the cell and the device is dark in the field-off condition. Since there is no remnant birefringence in the cell, the field-off state is exceptionally dark for normally incident light without the need for any retardation layer.

For $V > V_u$ a tilt of the director relative to the layer normal occurs. In addition, the director exhibits a helix having an axis parallel to the substrate normal. Polarized light is both retarded and rotated thus allowing light to pass through the crossed polarizer. Since the molecules have a negative dielectric anisotropy there is a 2π azimuthal tilt degeneracy for $V > V_u$, i.e., the director can tilt in any direction from the cell normal. It will be seen that at higher voltages this has virtually no effect on the perceived transmission from the cell since the liquid crystal is in the adiabatic limit where the optical field is rotated with the helix independent of azimuthal orientation at the surfaces. However, at lower voltages just above V_u the optical adiabatic limit has not yet been achieved. In this condition the cell exhibits Schlieren-like textures.

Close to the cell surface where the molecular director is not exactly parallel to the cell surface the azimuthal orientation of the director varies from point to point across the face of the cell. When there is no preferential azimuthal orientation the director can assume any azimuthal direction. When the azimuthal orientation of the director is neither parallel nor perpendicular to one of the polarizers, the electric field vector emerging from the polarizer will be split into two components, called eigenmodes. Each eigenmode will rotate with the director and emerge at the opposite side of the cell. Moreover, each eigenmode will travel through the cell at its own velocity so that there will be a phase shift, i.e., retardation, between the two eigenmodes which gives rise to elliptically polarized light. However, where the azimuthal orientation is parallel or perpendicular to the polarizer, the electric field vector is not split into two eigenmodes. A single eigenmode of linearly polarized light will be rotated approximately 90° across the cell and emerge from the crossed polarizer.

If care is not taken to adjust the overall phase shift between the two eigenmodes occurring in the regions where the director is neither parallel or perpendicular to a polarizer to some multiple of 360° , there will be a decrease in the amount of light transmitted through the cell in these regions. At lower voltages the difference in transmission between these regions and those where the director is parallel or perpendicular to a polarizer, results in perceptible light and dark regions (textures) because the adiabatic limit has not been achieved. At higher voltages, where the adiabatic limit is achieved, the single

eigenmode is efficiently rotated to emerge from the crossed polarizer at maximum intensity and the textures become nearly imperceptible.

Additionally, for a given cell, the intensity and uniformity of transmission can be maximized by adjusting the cell thickness so that the phase shift between the two eigenmodes is some integral multiple of 360° . In this condition linearly polarized light rotated by 90° emerges from the crossed polarizer. Thus, where the retardation is some multiple of 360° allowing for maximum transmission of light from those regions where the director is neither perpendicular or parallel to a polarizer, the contrast associated with the textures becomes quite small and the cell appears nearly uniformly bright.

The inventors have discovered that for a given birefringence one can adjust the cell thickness to meet this condition and maximize the transmitted light intensity. Moreover, if the azimuthal tilt at one surface is arranged to be parallel to the polarizer, a so called easy axis, there is negligible surface birefringence and only one eigenmode exists, so that the problem of the two eigenmodes disappears entirely. Treatment of one surface to provide an easy axis whereby the molecules tilt along a preferred direction will also function to reduce or eliminate the formation of the textures. Liquid freon filled with glass spacers blown over the surfactant at an angle, such as about 35° from the cell normal, can provide such an easy axis. Other possibilities include mechanical rubbing, deposition of a very small amount of polymer, and the use of other surface treatments such as silanes.

Many additional features, advantages and a fuller understanding of the invention will be had from the following detailed description of preferred embodiments and the accompanying drawings.

Brief Description of the Drawings

Figure 1 is a stylized diagrammatic cross-section of a cell according to the invention in the field-off condition.

Figure 2 is a stylized diagrammatic cross-section of a cell according to the invention in the field-on condition.

Figure 3 is a conceptual representation of the orientation of the liquid crystal across the thickness of the cell in the presence of a field.

Figures 4 and 5 are plots of the contrast ratio exhibited by a cell according to the invention relative to varying angles of incidence of light on the cell.

Figure 6 is a plot of the transmission from a cell according to the invention in response to applied voltage.

Figure 7 is a plot of the contrast ratio exhibited by a cell according to the invention for diffuse incident light relative to varying angles of incidence. Figure 8 is a plot of the contrast ratio exhibited by another cell according to the invention relative to varying angles of incidence of light on the cell.

Figure 9 is a plot of the transmission of the cell from Fig. 8 in response to applied voltage.

Figure 10 is a plot of the contrast ratio exhibited by the cell from Fig. 8 for diffuse incident light relative to varying angles of incidence.

Figure 11 is a plot of the contrast ratio exhibited by another cell of the invention relative to varying angles of incidence.

Figure 12 is a plot of the transmission from another cell according to the invention in response to applied voltage.

Detailed Description of the Preferred Embodiments

Figures 1 and 2 are diagrammatic cross sections of a liquid crystal cell according to the invention above and below the threshold voltage V_{th} , respectively. Liquid crystal 11 is contained between glass slides 12 coated with indium-tin-oxide (ITO) 14 to serve as electrodes. A layer of surfactant 16 is deposited on the ITO to induce homeotropic alignment of the liquid crystal molecules 18. Crossed polarizers 20, 20' are placed on the outside of the cell walls 12, and an a.c. voltage source 17 is connected to the cell to apply a voltage across the cell using the ITO electrodes.

Figure 1 shows the cell in the field-off condition where $V < V_{th}$. In this condition the molecular director remain parallel to the cell normal throughout the cell. Light incident along the cell normal is polarized by a polarizer 20 or 20'. Since the propagation direction remains parallel to the liquid crystal director, there is no birefringence and hence no optical retardation. The light remains polarized as it exits the cell, and is absorbed by the opposite polarizer 20 or 20', which is crossed with respect to the first polarizer giving rise to

extinction. For oblique incidence the light traverses the liquid crystal at an angle relative to the molecular director and therefore some retardation occurs. In this case some light will pass through the crossed polarizer giving rise to a reduced contrast ratio at oblique angles. Figure 2 shows the cell in the field-on condition where $V > V_{th}$. In this condition the molecules attempt to align parallel to the cell walls, i.e., perpendicular to the electric field which is applied in the direction of the cell normal.

Figure 3 is a conceptual representation of the molecular orientation as a function of position along the cell normal for $V > V_{th}$. The molecules at the surfaces lie parallel to the cell normal as shown. Polar tilt ϕ increases into the bulk interior of the cell, reaching a maximum at the center where the molecules lie more or less parallel to the cell walls. Additionally, the molecule precesses about a helical axis parallel to the cell normal, which is referred to as the azimuthal angle θ . For sufficiently long pitch, large molecular birefringence and large polar tilts, such as when the voltage V is substantially larger than V_{th} , the effective birefringence experienced by the light propagating along the cell normal is large. This is the "adiabatic limit" in which the optical electric field vector rotates about the helix, i.e., follows the molecular director. In this condition the initial azimuthal angle near the surfaces, which varies randomly with the position on the cell surface, will not significantly effect the perceived transmission of light.

Since the propagation of light through the cell depends on the refractive indices, the director's local polar tilt angle, helical pitch, the sample thickness and the viewing angle, it is clear that the performance of a cell depends critically on a multitude of adjustable parameters. The inventors have discovered the parameters for cell thickness relative the pitch of the chiral liquid crystal to obtain an excellent high contrast shutter and to minimize the threshold voltage.

The threshold voltage is a function of the pitch to thickness ratio. With a chiral nematic liquid crystal having negative dielectric anisotropy $\Delta\epsilon < 0$ and a pitch P_{bulk} in the bulk given by $P_{\text{bulk}} = 2\pi/q_0$, the free energy is given by formula (1) as follows:

$$F = \frac{1}{2}K_{11}(\hat{n} \times \nabla \times \hat{n})^2 + \frac{1}{2}K_{22}(\hat{n} \cdot \nabla \times \hat{n} - q_0)^2 - \frac{1}{2}\Delta\epsilon(\vec{E} \cdot \hat{n})^2, \quad (1)$$

where K_{11} and K_{22} are the twist and bend elastic moduli, respectively, \hat{n} is the director, and \vec{E} is the applied electric field. For a sufficiently thin cell treated for homeotropic alignment, where the cell thickness (d) is somewhat smaller than the pitch, and in the absence of an electric field, the helix unwinds and the director are normal to the cell surfaces throughout the cell. Taking the direction normal to the surface as z , with the x and y directions in the plane of the cell, an electric field along z will tend to rotate the director so that $\vec{E} \perp \hat{n}$. This effect will compete with the bend elastic term which tends to maintain a uniform director. Assuming small deviations of the director away from z , the Cartesian components of a liquid crystal director in the cell are given by formula (2) as follows:

$$\begin{aligned} n_x &= \sin \varphi \sin \theta \approx \varphi \sin \theta \\ n_y &= \sin \varphi \cos \theta \approx \varphi \cos \theta \\ n_z &= \cos \varphi \approx 1 \end{aligned} \quad (2)$$

where φ is the polar angle from the z axis and θ is the azimuthal angle in the x - y plane. In terms of φ and θ the free energy becomes:

$$F = \frac{1}{2}K_{11} \left[\left(\frac{d}{dz}(\varphi \sin \theta) \right)^2 + \left(\frac{d}{dz}(\varphi \cos \theta) \right)^2 \right] + \frac{1}{2}K_{22} \left(\varphi^2 \frac{d\theta}{dz} - q_0 \right)^2 + \frac{1}{2}\Delta\epsilon E^2 \varphi^2 \quad (3)$$

In the absence of chirality the K_{22} term vanishes. Below a certain threshold field the bend elastic term dominates the free energy, which is minimized for $\varphi=0$, and the sample remains uniform. Above the threshold voltage the electric field term becomes important, F is minimized for $\varphi \neq 0$, and the director rotates so that it is no longer parallel to the field. This is the usual Freedericksz transition in a negative material. However, chirality introduces an additional term proportional to K_{22} . Since a cholesteric is helical in its ground state, the sample can reduce its free energy by twisting. Although this is impossible for a uniform homeotropic, a twist can be achieved if a small

amount of bend is introduced. The director would then rotate about a cone from one surface of the cell to the other as shown in Fig. 3. Chirality favors a nonzero ϕ . On applying the Euler-Lagrange equation for θ to the free energy in Equation 3, it is seen that for the helical structure that $\frac{d\theta}{dz} = \frac{K_{12}q_0}{K_{11}}$, corresponding to a pitch $P = \frac{2\pi K_{11}}{K_{12}q_0}$. It should be noted that assumption that the pitch is independent of the polar angle ϕ applies only for small ϕ when the approximations of Equation (2) hold. On substituting the lowest-energy fourier component $\phi = \phi_q \sin q_1 z$ into Equation (3), where $q_1 = \pi/d$, one obtains:

$$F_{q_1} = \left[\frac{1}{2} K_{11} q_1^2 + \frac{1}{2} K_{11} \left(\frac{K_{12} q_0}{K_{11}} \right)^2 - K_{12} q_0 \left(\frac{K_{12} q_0}{K_{11}} \right) + \frac{1}{2} \Delta\chi E^2 \right] \phi_q^2 \quad (4)$$

Noting that the anisotropy is negative and voltage $V = Ed$, one obtains an expression of the Freedericksz threshold voltage:

$$V_{th}^2 = \frac{\pi^2}{-\Delta\chi} \left(K_{11} - \frac{K_{12}^2 d^2 q_0^2}{K_{11} \pi^2} \right) \quad (5)$$

Equation (5) suggests that for a sufficiently thick cell the threshold voltage vanishes. This occurs at a critical thickness $d_c = \frac{K_{11} \pi}{K_{12} q_0}$. Since K_{11} is typically about $3K_{12}$, the critical thickness d_c is approximately 3/2 the pitch P_{bulk} of the bulk liquid crystal. This condition generally does not provide maximum contrast however. Improved contrast can be obtained by reducing the chiral dopant, with a concomitant increase in V_{th} .

Thus, by providing a cell with appropriate thickness and a sufficiently low amount of chiral material to allow the liquid crystal to homeotropically align in the absence of a field, one obtains an excellent light shutter according to the invention. Specifically, if one adopts this geometry for a flat panel display the field-off condition appears very dark between crossed polarizers. Above the threshold voltage, where $\phi \neq 0$ and the director has a finite pitch $d\theta/dz$, one has a combination of ordinary birefringence and rotation of the optical electric field vector. Light will be transmitted through the polarizers and the pixel will appear bright in the field-on condition. When the voltage is just above V_{th} the polar tilt of the molecules is relatively small, and the effective birefringence seen by the light is commensurately small. In consequence the device operates primarily as a retardation cell. Without a

preferential easy axis for azimuthal orientation, Θ can wander from point to point across the face of the cell giving rise to unwanted texture. However, for appropriate voltages above the threshold voltage the polar tilt ϕ is close to $\pi/2$ over most of the cell, and the device can operate in the adiabatic limit as a combination retardation and rotation cell. Nearly uniform brightness is obtained because the transmission of light depends upon the total azimuthal rotation Θ of the optical electric field vector as well as retardation.

Accordingly, $\Theta \approx \pi/2$ tends to give maximum transmission, whereas a cell thickness of $3/2$ the pitch gives the minimum threshold voltage. Moreover, when there is no preferential azimuthal orientation, and hence two eigenmodes, maximum transmission for a given cell obtains when the above-noted condition of $\Theta \approx \pi/2$ is met and the cell thickness is adjusted such that the phase shift between the eigenmodes is an integral multiple of 360° , i.e., 2π , according to the formula $\int 2\pi/\lambda \Delta n_{\text{eff}}(z) dz \approx 2\pi$, where n is the effective birefringence and z is the cell thickness.

Example 1

A cell composed of glass plates coated with ITO and the surfactant HTAB was fabricated by first gently depositing a small quantity of $7\mu\text{m}$ diameter glass rods (typical length approximately $20\mu\text{m}$) uniformly on the surface of one of the plates. A second glass plate was placed face down on the first plate and held in place by a vacuum. The cell was then sealed with U.V. curable epoxy. Spacing uniformity was determined to be approximately $7 \pm 0.5\mu\text{m}$ over the face of the $2\text{cm} \times 2\text{cm}$ cell. Self-sticking crossed polarizers were placed on the outside surfaces of the cell walls, and the cell was then filled at room temperature with a mixture of CB15 chiral material and ZLI-2806 nematic liquid crystal. The CB15 is present in an amount of 0.99% by weight based on the combined weight of chiral material and nematic liquid crystal. ZLI-2806 has a dielectric susceptibility anisotropy of approximately -0.38 and a birefringence of 0.044. Both materials are available from E. Merck.

At room temperature the sample was viewed under an optical microscope, where excellent, defect-free homeotropic alignment was observed. On applying an a.c. voltage at 60 Hz, the material began to exhibit a Schlieren-like texture at 1.75 V rms where the transmission from the cell was not

uniform. As the voltage was increased¹³ the cell became uniformly bright under crossed polarizers at approximately 3V.

The cell was then placed in the path of a beam from an He-Ne laser operating at wavelength $\lambda=6328\text{\AA}$. The beam passed consecutively through the first polarizer, the sample, the second, crossed polarizer, a light chopper and into a photodiode detector. The detector output was fed into a lock-in amplifier which was referenced at the 317 Hz chopping frequency. The output from the lock-in amplifier, which is proportional to the intensity of light at the detector, was measured with a digital voltmeter.

The intensity of light at normal incidence with zero voltage applied to the sample was first measured and then a 3 V rms, 60 Hz potential was applied to the cell and the intensity again measured. The contrast ratio was taken to be the $I(V=3V)/I(V=0)$. The transmission of the cell was determined by measuring the transmitted intensity of normally incident He-Ne laser light with the cell in the field-on condition at 3V. This intensity was divided by the intensity of light through a piece of indium-tin-oxide coated glass slide placed between two parallel polarizers. This latter measurement approximately models the ideal transmission through the cell. A transmission of 20% was obtained for this example. The cell was then rotated about an axis parallel to the polarizer and in the plane of the sample, and the contrast ratio again measured. This process was repeated at rotation angles up to 60° , and the results are plotted and shown in Figure 4. As can be seen from Fig. 4, the cell exhibited excellent contrast, above 2000:1 out to 20° and remaining above 100:1 out to 60° .

The cell was then reoriented so that the axis of rotation was at 45° with respect to the polarizer and in the plane of the cell. Figure 5 shows the contrast ratio. At viewing angles above 25° the contrast becomes rather poor, due largely to an increasing transmission in the field-off state for oblique transmission through the cell. Here the optical polarization is a mixture of ordinary and extraordinary, thus allowing for retardation in the field-off state at oblique incidence. This is contrasted with the results shown in Fig. 4 where the optical polarization was either purely extraordinary or purely ordinary for oblique incidence, and thus there was little or no transmission through the crossed polarizer in the field-off state.

The cell was then rotated back to normal incidence, and the intensity of transmitted laser light was measured as a function of applied voltage. The results of this test are shown in Figure 6. Here all results were found to be independent of driving frequency in the range of $60 \text{ Hz} < \nu < 10,000 \text{ Hz}$.

Lower frequencies were not examined. The threshold voltage V_{th} was found to be 1.75V, and the voltage ratio for $I(90\%)/I(10\%)$ was approximately 1.45.

The rise and fall times of the transmitted intensity were determined by switching the a.c. voltage on an off with a toggle switch and observing the signal using a digital storage oscilloscope. After turning on a 3V rms potential there was an approximately 200 ms delay before the intensity began to increase, followed by a 150ms rise to 90% of maximum intensity. On switching off the voltage the transmitted intensity began to decay immediately, falling to 10% of the maximum in approximately 70ms. For a 3.6V signal, there was no delay for the intensity to rise and the intensity rose approximately linearly over 300ms to 90% of its maximum value.

The laser was then expanded to about a 2 cm diameter spot at the cell. In front of the cell was placed a piece of ground glass to diffuse the light, followed by a 0.8 cm diameter mask. Directly behind the cell, a distance of about 0.6cm, was placed a wide area detector having an acceptance area of about 1 cm^2 . No light chopper was used. Here the contrast ratio $I(V=3V)/I(V=0)$ was measured as a function of angle. The results are shown in Figure 7. For normal incidence a contrast ratio greater than about 50:1 was achieved. At 60° incidence the contrast ratio was greater than about 10:1.

Example 2

A cell was prepared in the manner described in the previous example wherein the liquid crystal material was a mixture containing 1.65% by weight CB15 in ZLI-2806. The contrast ratio and intensity versus voltage experiments described in the preceding example were conducted on this cell. Although the contrast ratio, shown in Figure 8 for the He-Ne laser light was reduced from the lower chirality material used in Example 1, this cell still exhibited contrast ratios above 100:1 out to an angle of 55° . The reduction in contrast ratio exhibited by this cell is due to the tighter pitch which results in an optical rotation somewhat greater than $\pi/2$ so that much of the light cannot pass

15
through the crossed polarizer. Interestingly, the contrast ratio showed a peak
when the incidence angle was approximately 40° from the normal. The
intensity versus voltage for normally incident light was measured and the results
are shown in Figure 9. As expected for this more highly chiral material, the
5 threshold voltage is smaller than that for the material in Example 1 and was
about 1.25V. As with the material in the preceding example there was a slow
rise in intensity above V_{th} , but with this material textures were still observable
at voltages below about 3V. This is because the cell had not yet reached its
adiabatic limit. Figure 10 shows the contrast ratio of this cell for diffuse He-Ne
10 light. At this higher chirality the contrast ratio was clearly reduced from that
shown in Fig. 7 for the material of Example 1.

Example 3

15 A cell was prepared in the manner described in Example 1, except that
the cell was filled with a mixture of 1.0% CB15 in ZLI-4330 nematic liquid
crystal (E. Merck). This material has a much higher birefringence ($\Delta n=0.147$),
a somewhat smaller dielectric anisotropy ($\Delta\epsilon=-0.15$), and a viscosity less than
one third that of the ZLI-2806 of Example 1. In consequence, the adiabatic
limit will be reached for smaller polar tilts, and the retardation will be larger.
20 Due to the lower dielectric anisotropy, the threshold voltage for this cell was
about 3.1V.

Using a field-on voltage of 4 V, the contrast ratio for normally incident
He-Ne laser light was approximately 800:1 as shown in Fig. 11. Because of the
larger birefringence, the dark state transmitted more light than the material of
25 example 1 at oblique incidence. At an angle of 40° the contrast ratio was
about 4, and at 20° the contrast ratio was 1.3. However, the rapid decrease in
contrast ratio with angle could be alleviated with the use of a negative
retardation layer or a material with slightly lower birefringence.

30 The transmission of normally incident He-Ne laser light was at least
85%, largely due to the more complete adiabatic rotation and larger
birefringence. With the much lower viscosity the response time was much
faster. The turn on time was on the order of 30ms, although there is a short
delay before the device reacts to the voltage, which depends upon the applied
voltage. The turn off time was about 50ms.

The cell was viewed under a microscope using white light. At 4 V the transmitted light appeared to be white. At higher voltages colors were observed, from yellow to orange to red on increasing the applied voltage. The color effect comes about from preferential retardation of one part of the optical spectrum over another. To minimize texture, the azimuthal orientation of the director at one surface should ideally be at about 45° with respect to the polarizers, giving two eigenmodes of equal amplitude. Additionally, the optical rotation would be 90° . Thus, the combination of high transmission of collimated light and color may be useful in color projection devices.

Example 4

Another $7\mu\text{m}$ thick cell was constructed as in the preceding examples except that the glass spacers were deposited using freon sprayed obliquely at the surfactant-treated surface. The freon was sprayed from a distance of 40 cm from the glass at an angle of approximately 55° with respect to the substrate normal.

The cell was filled with a mixture of CB15 in ZLI-2806 obtained by several dilutions. As a result the precise concentration is not known, although it was likely to be approximately 1.9% by weight CB15 based on the combined weight of CB15 and ZLI-2806. This concentration is approximated from the V_{th} being only 0.35V. Using optical microscopy a uniform homeotropic texture at zero field was observed, although there were several defects present where presumably a large freon drop hit the surface and disrupted the HTAB surfactant. For $V > V_{th}$ there was only a small amount of texturing observed just above the threshold voltage. Instead, a few striped more or less parallel dark domains approximately $100\mu\text{m}$ long were seen running along the length of the sample in an otherwise uniformly bright background. The contrast between the darker stripes and brighter background decreased as the voltage increased. It is believed that the freon treatment was responsible for the uniform background and that the stripes may be due to larger droplets of freon or glass rods skidding across the surface. Ultimately this effect may prove useful in providing an easy-axis for azimuthal orientation, thereby eliminating the domain structure or texture at voltages just above V_{th} and permitting grey scale application. Figure 12 shows the intensity versus voltage trace for collimated

normally incident He-Ne light. The maximum contrast ratio was approximately 50:1, continuing to decrease in contrast as the concentration at which V_{th} goes to zero is approached.

Many modifications and variations of the invention will be apparent to those of ordinary skill in the art in light of the foregoing disclosure. For example, the turn-on time can be improved and optimized by using thinner cells, lower viscosity materials and by adjusting the elastic constant to viscosity ratio, as by adding appropriate polymeric liquid crystals to the mixture. Turn-off times can also be improved by similar methods, and by using materials whose sign of dielectric anisotropy is frequency dependent. Similarly, the threshold voltage, and the optics above it, can be adjusted by changing the ratio of bend to twist elastic constant, as by doping with polymer. Therefore, it is to be understood that, within the scope of the appended claims, the invention can be practiced otherwise than has been specifically shown and described.

WHAT IS CLAIMED IS:

1. A liquid crystal display device comprising cell walls and a chiral nematic liquid crystal material disposed between said cell walls, said cell walls including means for addressing the liquid crystal material with an electric field and means for plane polarizing light passing therethrough, each of said polarizing means being oriented to pass a polarization of light substantially perpendicular to the polarization of light passed by the other of said polarizing means, wherein said cell walls are treated to promote homeotropic alignment of said liquid crystal material and wherein said liquid crystal material has negative dielectric anisotropy and includes a sufficiently low amount of chiral material to enable the liquid crystal director to homeotropic align in the absence of a field.

2. The liquid crystal device according to claim 1 wherein said cell walls are spaced apart by a distance of approximately $3/2$ the pitch length of the chiral nematic liquid crystal.

3. The liquid crystal device according to claim 1 wherein said liquid crystal material includes chiral material in an amount sufficient to produce a pitch length effective to rotate light passing through the cell approximately 90° in the presence of a field.

4. The liquid crystal device according to claim 3 wherein a proportion of light entering said liquid crystal is split into two eigenmodes and said cell walls are spaced apart by a distance effective to produce a phase shift between said eigenmodes that is approximately an integral multiple of 360° .

5. The liquid crystal device according to claim 1 wherein at least one of said cell walls is treated to promote a preferential azimuthal orientation of the adjacent liquid crystal molecules.

6. The liquid crystal device according to claim 5 wherein said liquid crystals have a preferential azimuthal orientation that is approximately parallel to the orientation of one of said polarizing means.

7. The liquid crystal device according to claim 5 wherein said liquid crystals have a preferential azimuthal orientation that is approximately 45° with respect to the orientation of one of said polarizing means.

8. The liquid crystal device according to claim 5 wherein said one of said cell walls is textured by blowing freon containing glass spacers across the surface of said wall at an angle with respect to the cell normal.

1/6

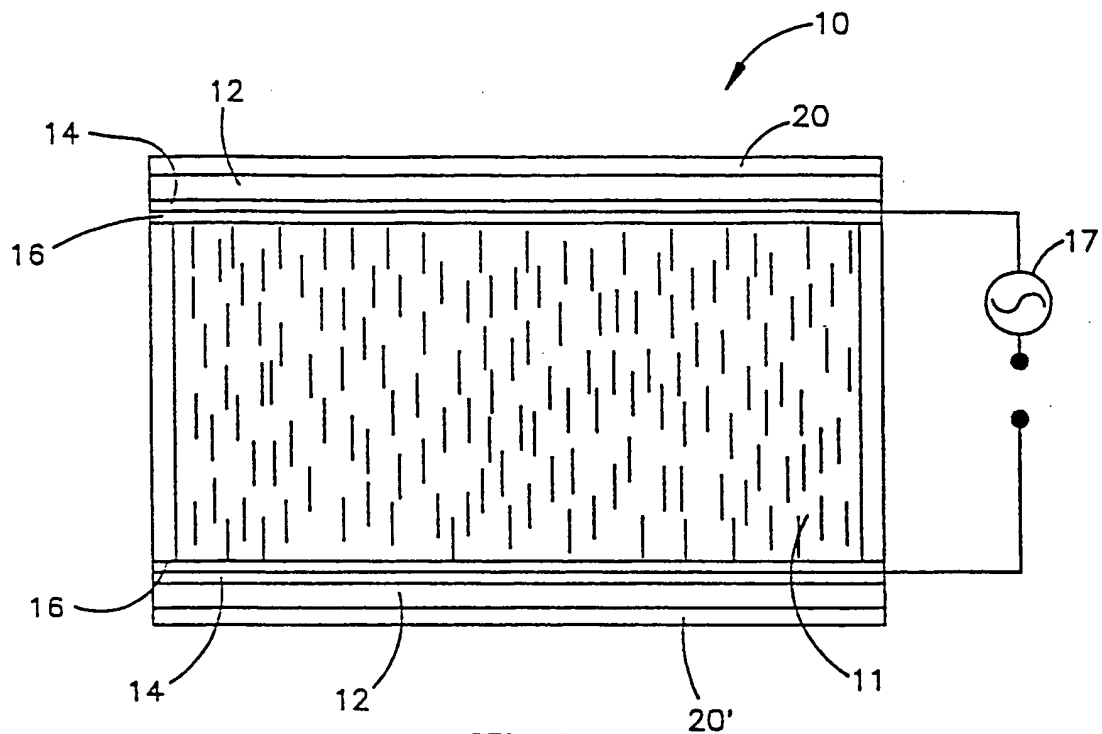


Fig.1

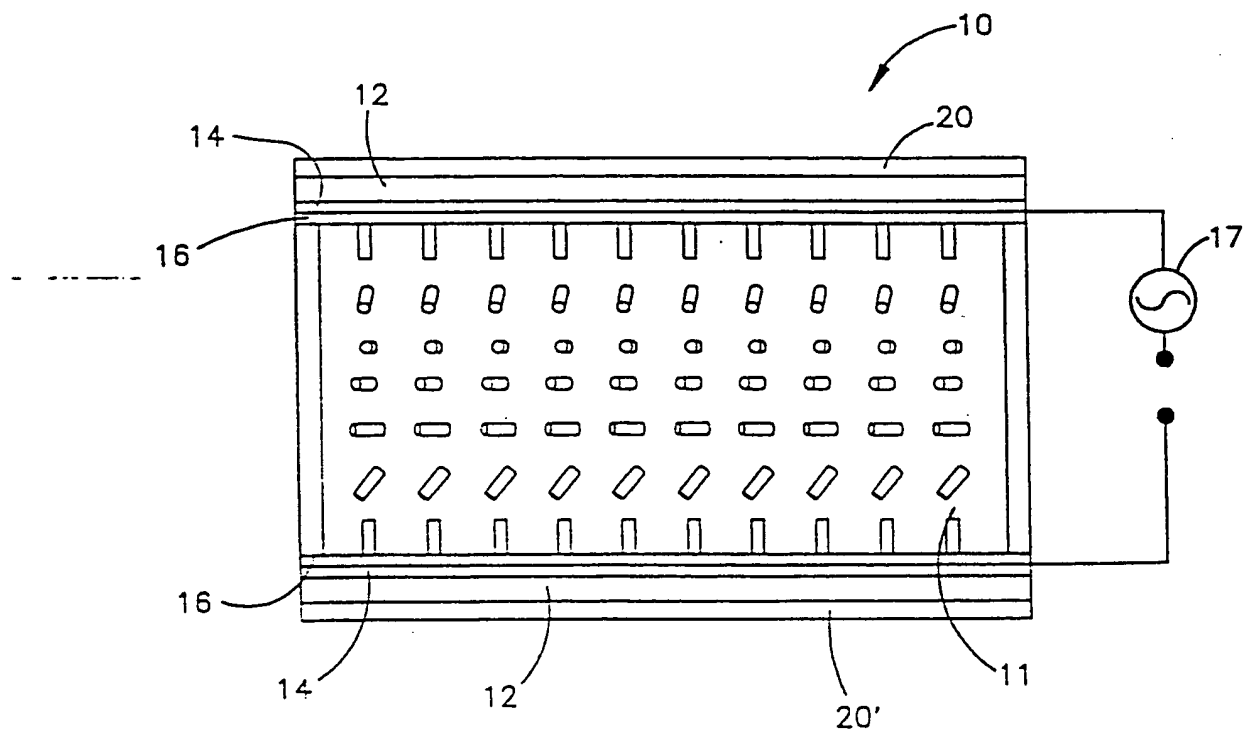


Fig.2

2/6

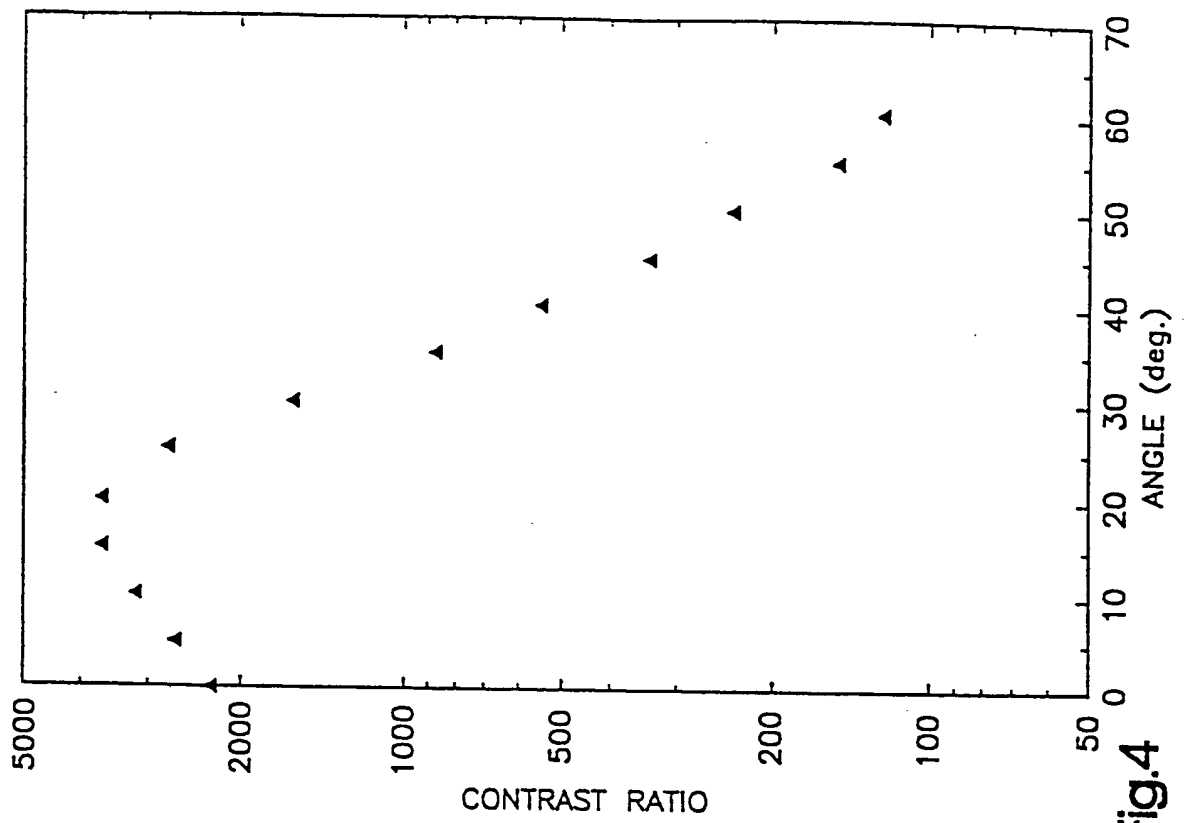


Fig.4

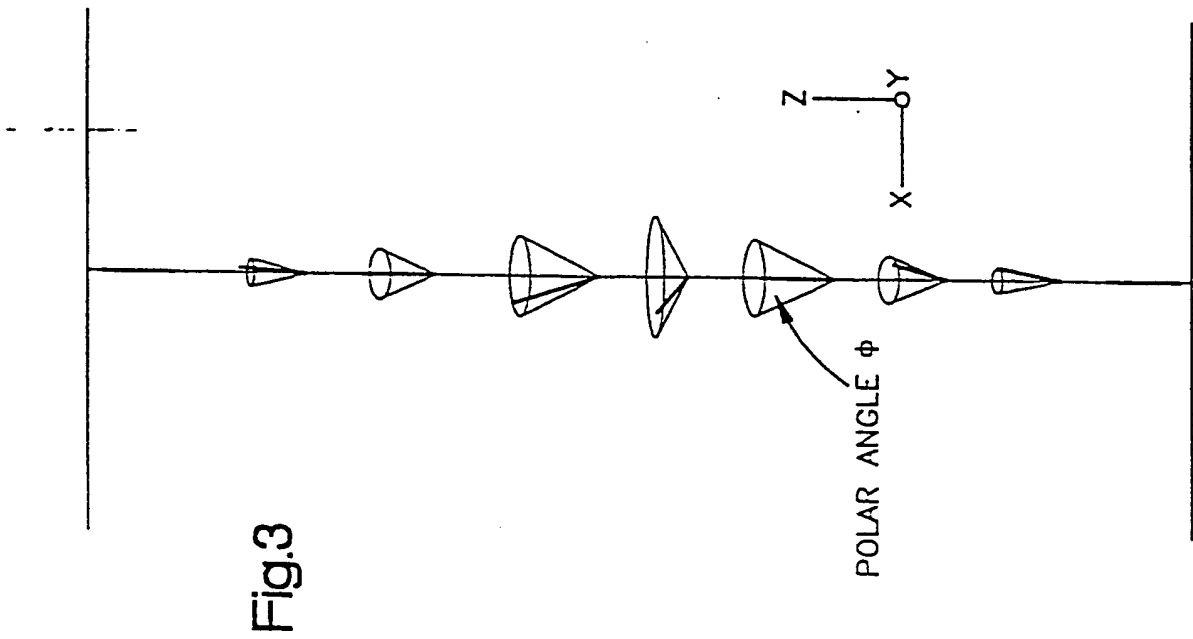
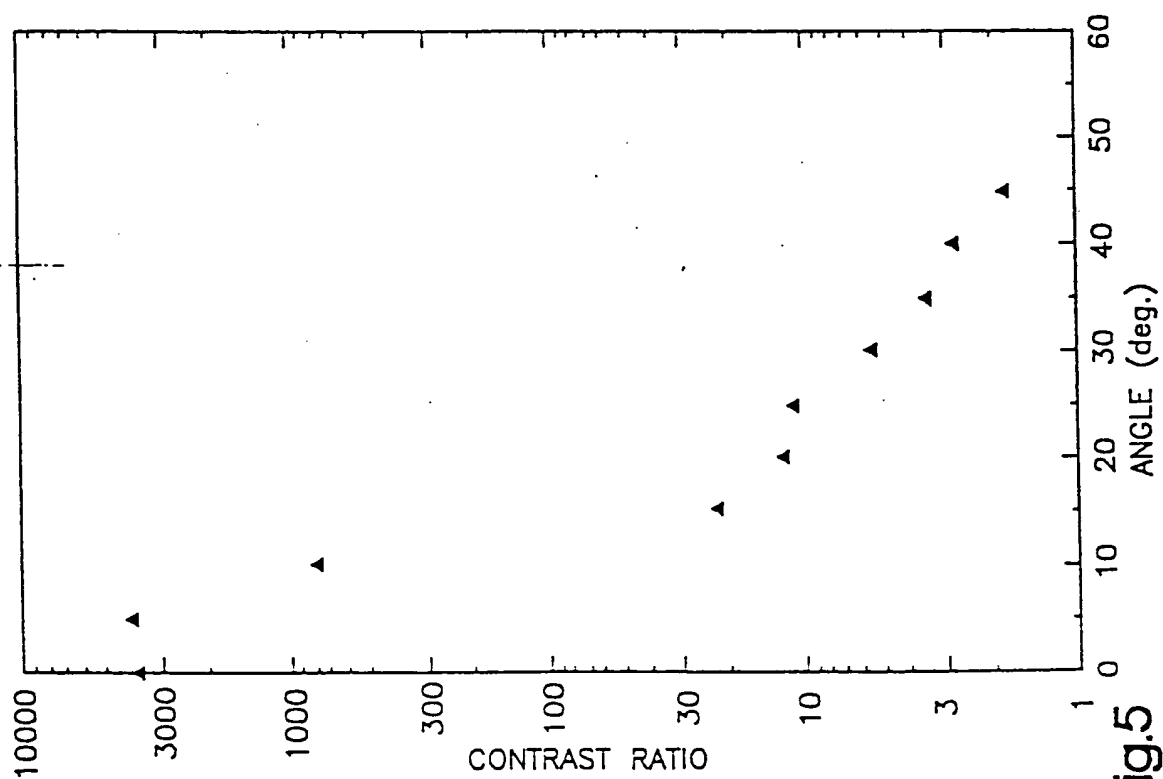
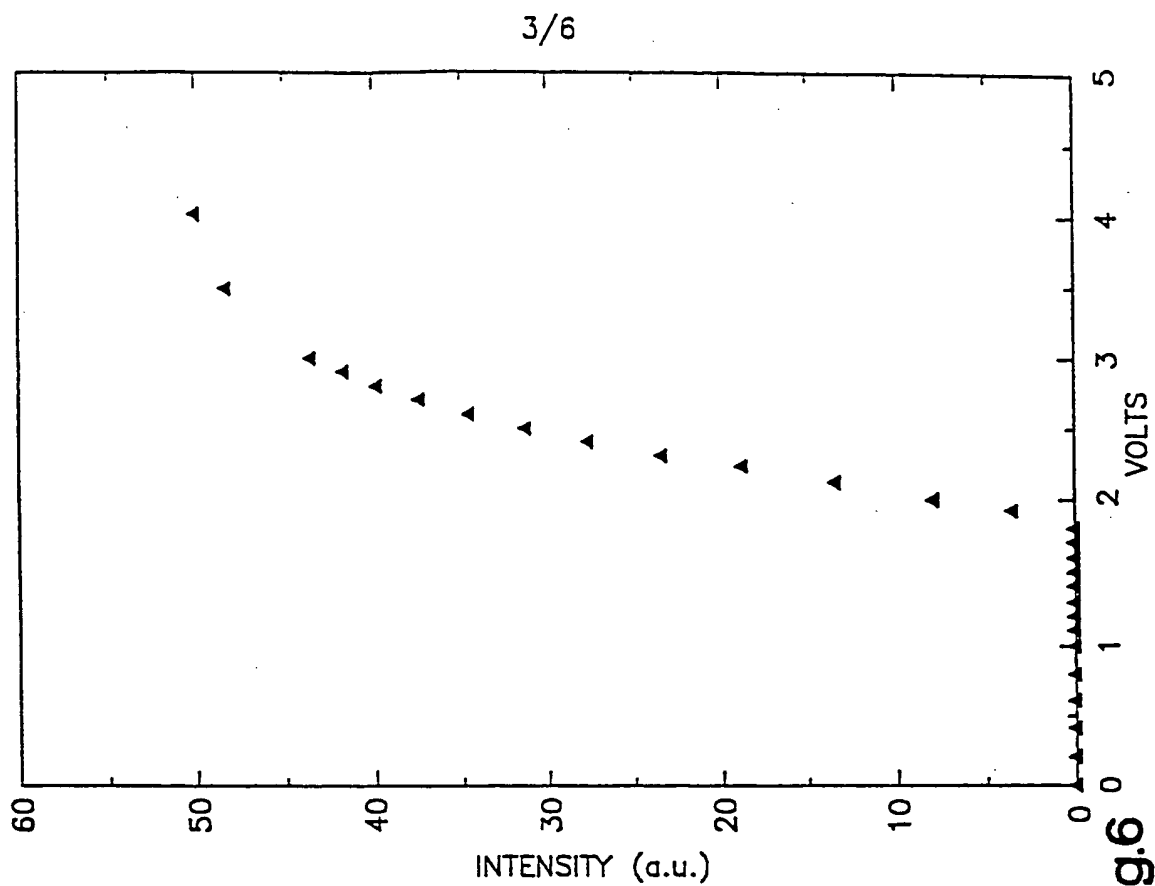


Fig.3



4/6

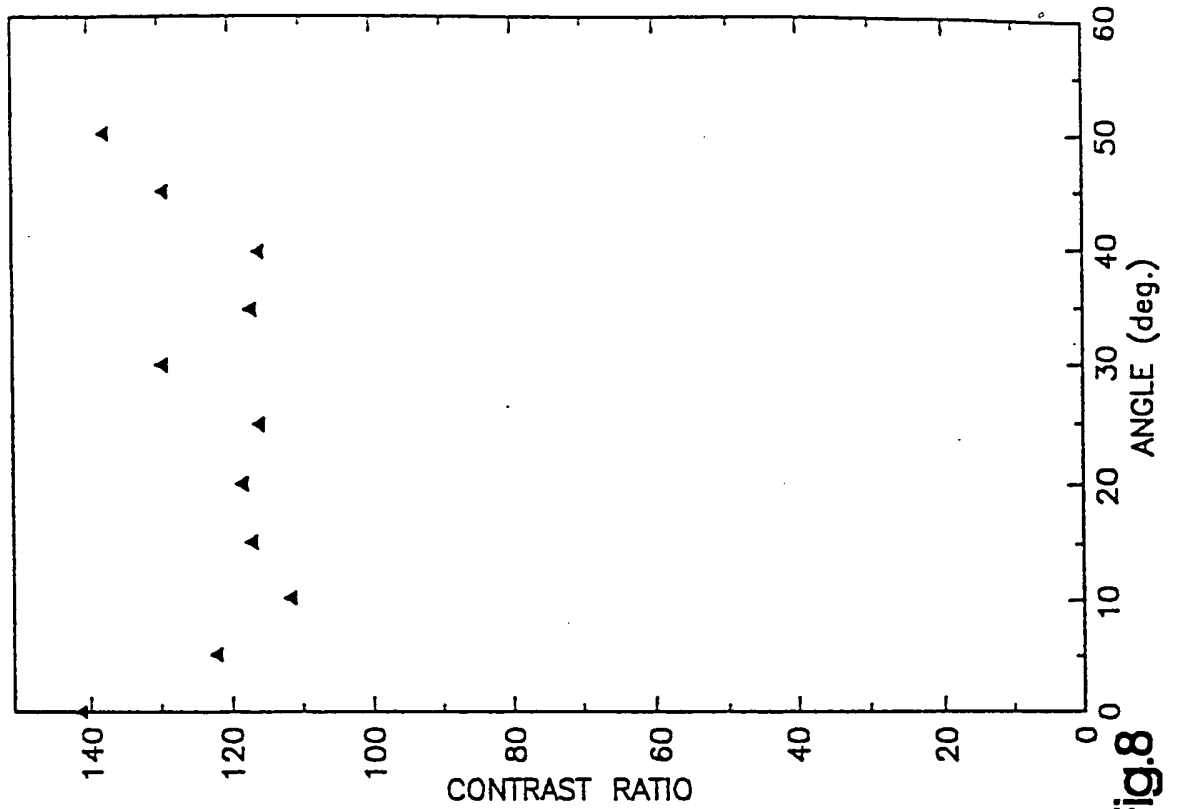


Fig. 8

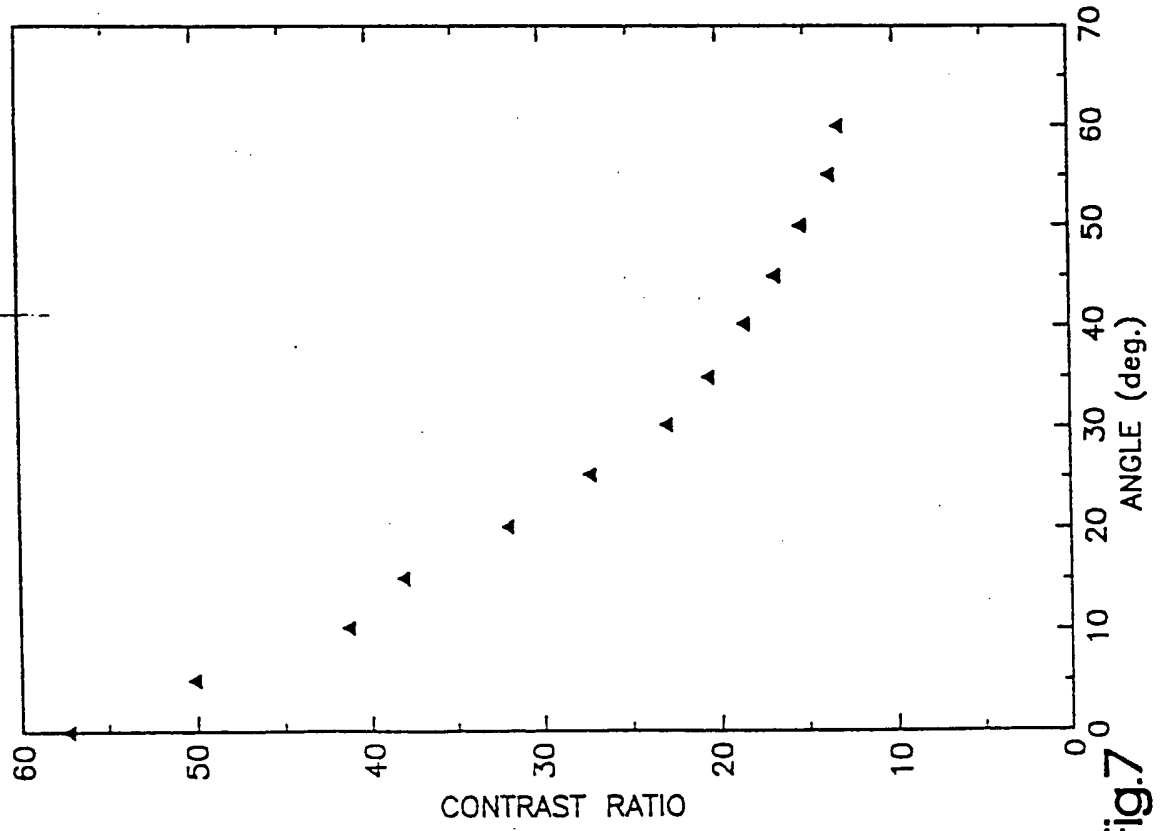


Fig. 7

5/6

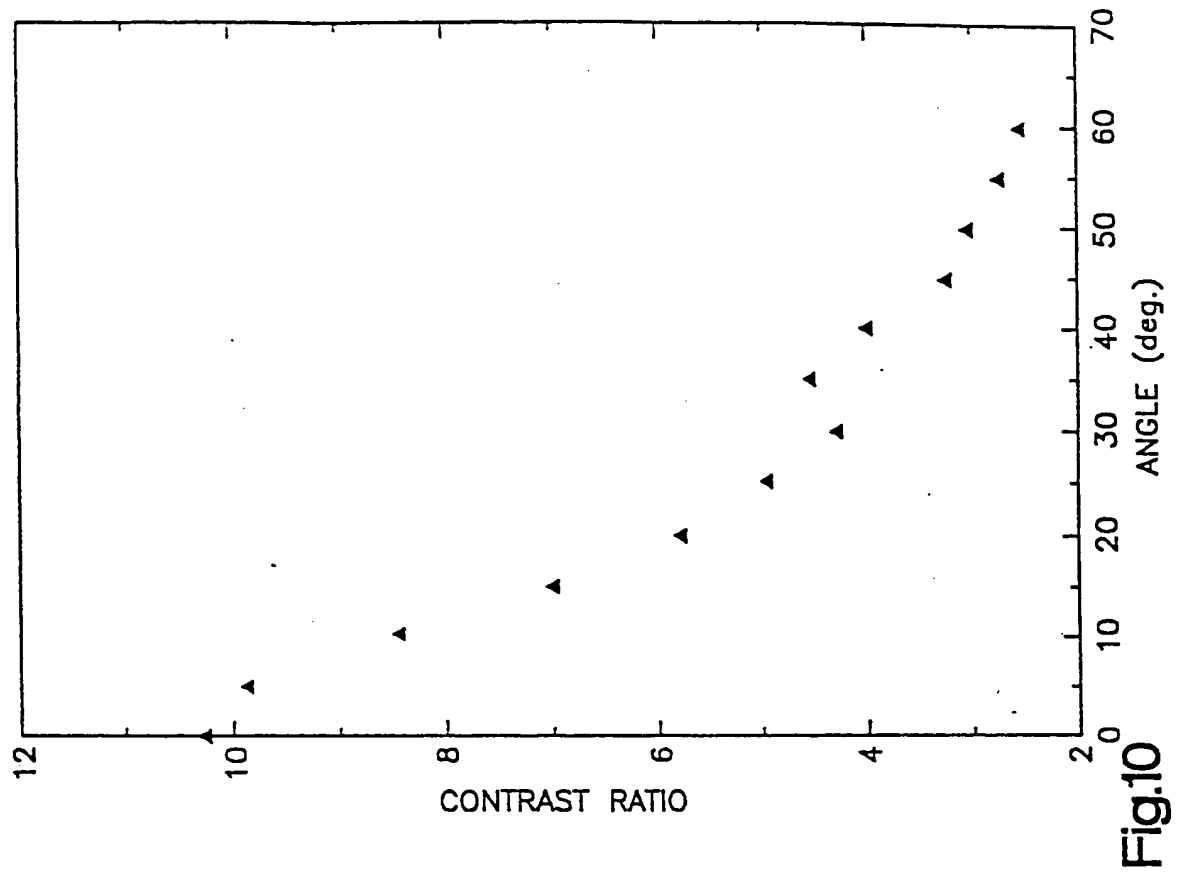


Fig. 10

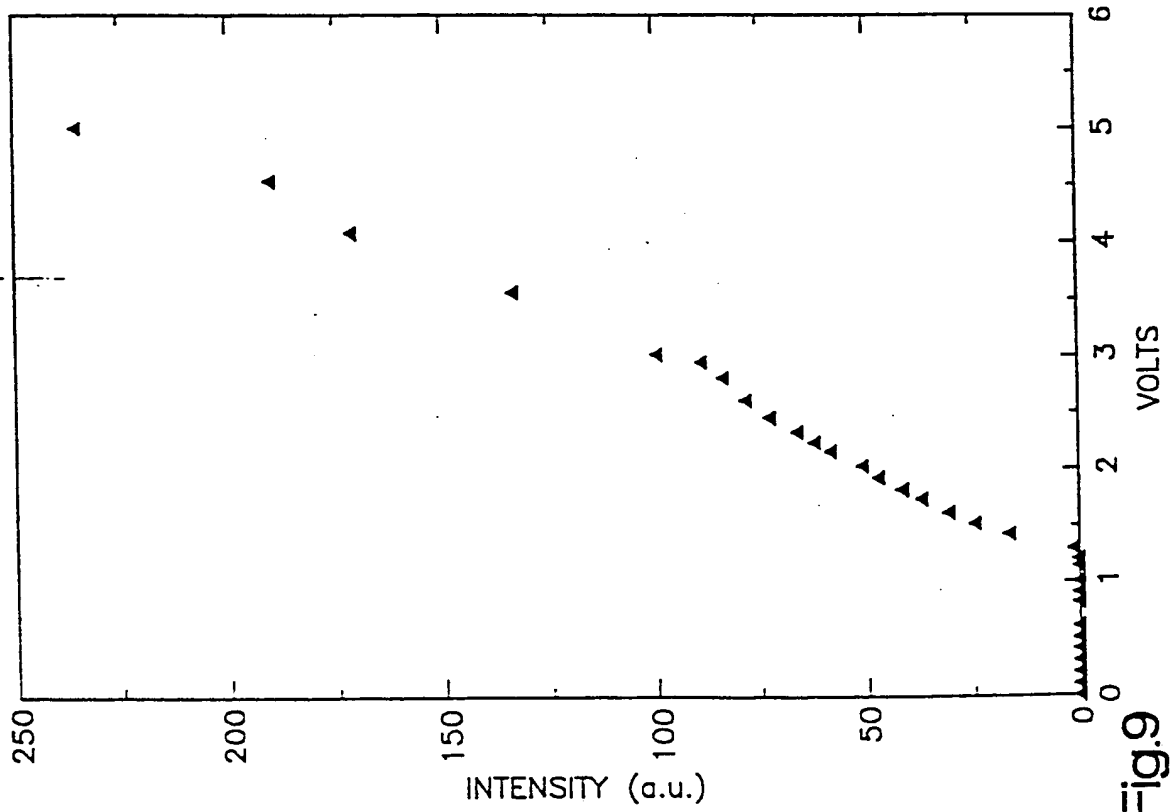


Fig. 9

6/6

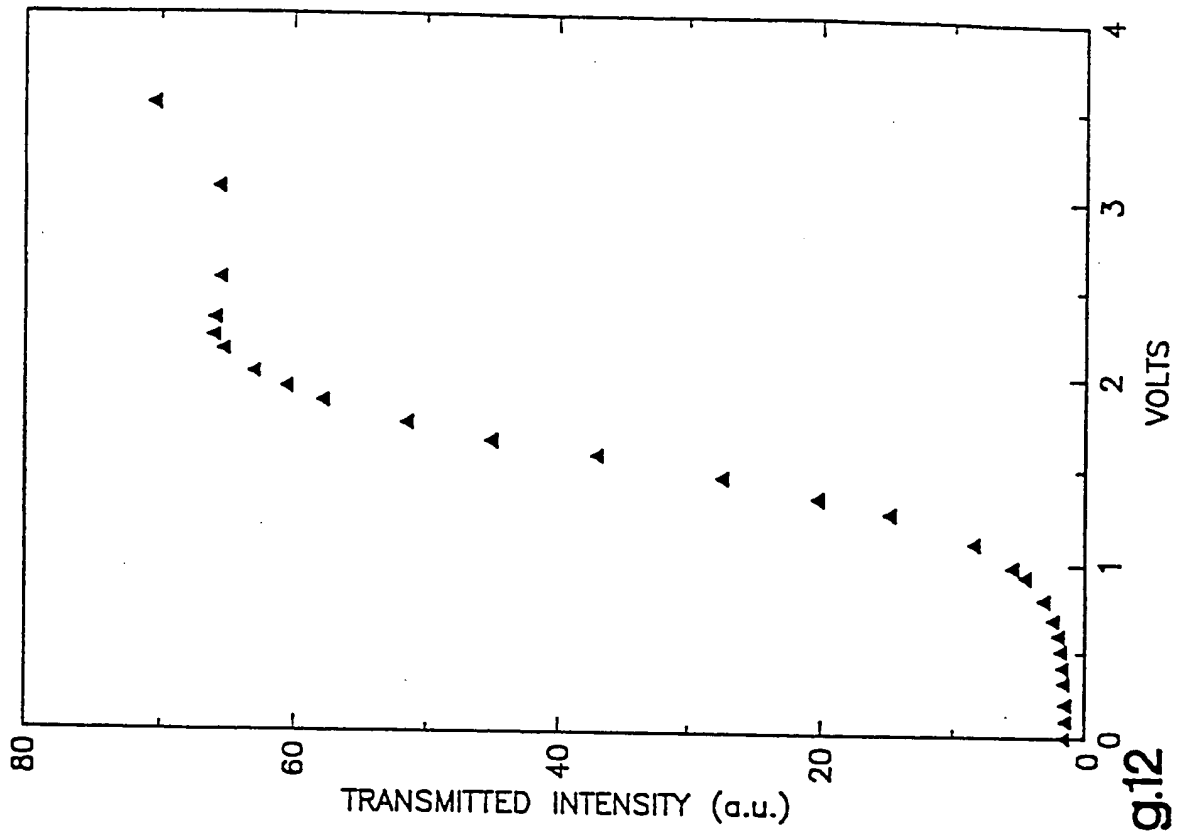


Fig.12

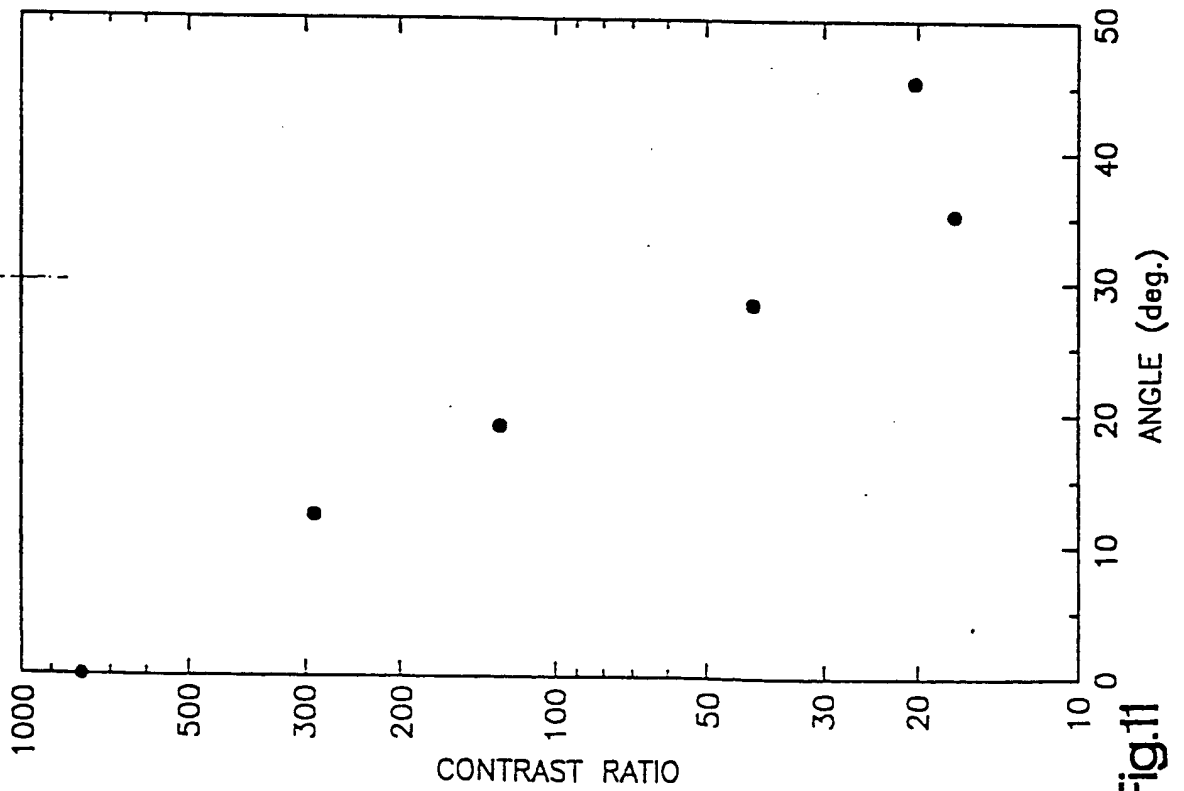


Fig.11

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/06835

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : G02F 1/1337

US CL : 359/77

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/77

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DE, A, 2,531,806 (DARGENT ET AL) 01 JANUARY 1976, FIGURE 3.	1,3 AND 8
Y	GB, A, 2,007,865 (SHANKS) 23 MAY 1979, PAGE 1, LINES 36-41.	8
A	US, A, 4,973,138 (YAMAZAKI ET AL) 27 NOVEMBER 1990.	8
Y	ELECTRONICS LETTERS, Vol. 12, No. 3, OGAWA ET AL, "NEW ELECTRO-OPTICAL EFFECT: OPTICAL ACTIVITY OF ELECTRIC-FIELD-INDUCED TWISTED-NEMATIC LIQUID CRYSTAL", PAGES 70-71.	8

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A document defining the general state of the art which is not considered to be of particular relevance	* X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E earlier document published on or after the international filing date	* Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* &	document member of the same patent family
* O document referring to an oral disclosure, use, exhibition or other means		
* P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

02 AUGUST 1994

Date of mailing of the international search report

09 AUG 1994

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

CHARLES MILLER

Telephone No. (703) 305-6202

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/06835

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 5-7
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

CLAIM 1 CLAIMS THAT THE CELL WALLS ARE TREATED TO PROMOTE HOMEOTROPIC ALIGNMENT OF THE LIQUID CRYSTAL MATERIAL. CLAIMS 5-7 CLAIM PREFERENTIAL AZIMUTHAL ORIENTATIONS. IT IS UNCLEAR HOW THE LIQUID CRYSTAL MATERIAL CAN HAVE BOTH.
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Inter national Application No
PCT/US 95/12499

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 G02F1/1337 G02F1/139

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US,A,5 309 264 (LIEN) 3 May 1994 see column 3, paragraph 2 - column 4, paragraph 1; figure 2 ---	1-6,9
A	APPLIED PHYSICS LETTERS, vol. 65, no. 1, 4 July 1994 NEW YORK US, pages 118-120, XP 000458756 K.A.CRANDALL ET AL. 'Homeotropic, rub-free liquid crystal light shutter' cited in the application see page 118, right column, paragraph 3 see page 120, left column, paragraph 1 ---	1,2,6,7, 9
A	CH,A,661 361 (BBC) 15 July 1987 see claims 1,2 -----	1,2,6

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *Z* document member of the same patent family

Date of the actual completion of the international search

12 January 1996

Date of mailing of the international search report

31.01.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Wongel, H

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/US 95/12499

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5309264	03-05-94	JP-A- 6043461	18-02-94
CH-A-661361	15-07-87	NONE	